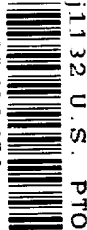


12/19/01



1132 U.S. PTO

12-28-01

A

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>	Attorney Docket NO.	<b>S00-178</b>	Total Pages
	First Named Inventor <b>BYONG-HO PARK</b>		
	Title <b>SHAPE MEMORY ALLOY ACTUATORS ACTIVATED BY STRAIN GRADIENT VARIATION DURING PHASE TRANSFORMATION</b>		

APPLICATION ELEMENTS	ACCOMPANYING APPLICATION PARTS
1. <input type="checkbox"/> Fee Transmittal Form	9. <input type="checkbox"/> Assignment cover sheet and document(s)
2. <input type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27	10. <input type="checkbox"/> Power of Attorney by Assignee [ ] with CFR 3.73(b) statement
3. <input checked="" type="checkbox"/> Specification Total Pages: [ 21 ]	11. <input type="checkbox"/> English Translation Document
4. <input checked="" type="checkbox"/> Drawing(s) Total Sheets: [ 7 ]	12. <input checked="" type="checkbox"/> IDS/PTO-1449 [ X ] with copies of cited references
5. <input type="checkbox"/> Oath or Declaration Total Pages: [ ]	13. <input type="checkbox"/> Preliminary Amendment
a. <input type="checkbox"/> Newly executed (original or copy)	14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)
b. <input type="checkbox"/> Copy from a prior application 1.63(d) (complete Box 19 and note Box 6 below)	15. <input type="checkbox"/> Small Entity Statement [ ] Statement filed in prior application Status still proper and desired
i. <input type="checkbox"/> Signed statement deleting inventors named in the prior application, see CFR 1.63(d)(2) and 1.33(b)	16. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)
6. <input type="checkbox"/> Incorporation by Reference (if 5b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated therein by reference.	17. <input type="checkbox"/> Request and Certification under 35 USC 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
7. <input type="checkbox"/> Microfiche Computer Program (Appendix)	18. <input type="checkbox"/> Other:
8. <input type="checkbox"/> Nucleotide/Amino Acid Sequence Submission (all the following are necessary)	
a. <input type="checkbox"/> Computer Readable Copy	
b. <input type="checkbox"/> Paper Copy (identical to computer copy)	
c. <input type="checkbox"/> Statement verifying identity of above copies	
19. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information: [ ] continuation [ ] divisional [ ] CIP ...of prior application No.: [ ]	

20. CORRESPONDENCE ADDRESS					
NAME	<b>MAREK ALBOSZTA LUMEN INTELLECTUAL PROPERTY SERVICES</b>				
ADDRESS	<b>45 CABOT AVENUE, SUITE 110</b>				
CITY	<b>SANTA CLARA</b>	STATE	<b>CA</b>	ZIP CODE	<b>95051</b>
COUNTRY	<b>USA</b>	TELEPHONE	<b>(408) 260-7300</b>	FAX	<b>(408) 260-7301</b>

Signature of Applicant, Attorney, or Agent

Ron Jacobs, Reg. No. P-50,142

Date

December 19, 2001

Certificate of Mailing by "Express Mail"	
I hereby certify that I am mailing this correspondence on the date indicated below to the ASSISTANT COMMISSIONER FOR PATENTS, BOX PATENT APPLICATION, WASHINGTON, DC 20231 using the "Express Mail Post Office to Addressee" service of the United States Postal Service under 37 CFR 1.10.	
SIGNATURE 	DATE OF MAILING: 12/19/01
NAME OF PERSON SIGNING Nicholas Ammann	EXPRESS MAIL LABEL NO: ET 839129521 US